

# THE HARTOGS–LINDENBAUM SPECTRUM OF SYMMETRIC EXTENSIONS (ABSTRACT)

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Let  $X$  be a set. The *Hartogs number* of  $X$  is

$$\aleph(X) := \min\{\alpha \in \text{Ord} \mid \text{There is no injection } f: \alpha \rightarrow X\}.$$

The *Lindenbaum number* of  $X$  is

$$\aleph^*(X) := \min\{\alpha \in \text{Ord} \setminus \{0\} \mid \text{There is no surjection } f: X \rightarrow \alpha\}.$$

Under the axiom of choice, any set can be well-ordered and so we obtain  $\aleph(X) = \aleph^*(X) = |X|^+$  for all  $X$ . However, this uses AC in an essential way. Indeed, the only relation proved by ZF is that  $\aleph(X) \leq \aleph^*(X)$  are both cardinals, and  $\aleph(X)$  is finite if and only if  $\aleph(X) = \aleph^*(X) = |X|^+$ : In [1] the authors show that for all infinite  $\lambda \leq \kappa$  there is a symmetric extension in which for some set  $X$ ,  $\aleph(X) = \lambda$  and  $\aleph^*(X) = \kappa$ . Indeed, this can also be done *simultaneously*: ZF is consistent with “for all infinite  $\lambda \leq \kappa$  there is  $X$  such that  $\aleph(X) = \lambda$  and  $\aleph^*(X) = \kappa$ ”. This leads one to the following question:

**Question.** What are the possible Hartogs–Lindenbaum spectrums of models of ZF?

We shall partially classify such spectra using technology that pushes eccentric sets (those  $X$  with  $\aleph(X) < \aleph^*(X)$ ) upwards to produce eccentric  $Y$  with large  $\aleph(Y)$ . As a consequence, in models of SVC, the Hartogs and Lindenbaum numbers of sets eventually ‘stabilise’ in cofinality:

**Theorem.** *There is a set  $C$  of cardinals and a cardinal  $\Omega$  such that for all  $\kappa \geq \lambda > \Omega$ , there is  $X$  such that  $\aleph(X) = \lambda$  and  $\aleph^*(X) = \kappa$  if and only if:*

- $\lambda = \kappa$  is a successor; or
- $\text{cf}(\lambda) \in C$  and  $\kappa = \lambda^+$ .

## REFERENCES

1. Asaf Karagila and Calliope Ryan-Smith, *Which pairs of cardinals can be Hartogs and Lindenbaum numbers of a set?*, arXiv **2309.11409** (2023).

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